



Right of representation and specimen signatures for registered sole proprietorships, trading partnerships, non-trading partnerships and corporations

Internal bank data/filing data

Type of account (e.g. current account, custody account)	Account no./ custody account no.
1	
2	
3	
4	
Enclosure to the opening of accounts/ custody accounts of	Sheet no.

Account/custody account holder	
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- I. **Right of representation and specimen signatures**
The persons listed below shall be authorised to represent us vis-à-vis the Bank as follows:
- Addendum to the right of representation and specimen signatures**
In addition to the authorised representatives already indicated, the persons listed below shall be authorised to represent us vis-à-vis the Bank as follows:

Names of proprietors, personally liable partners, management board members, managing directors	Right of representation vis-à-vis the Bank ¹	To be filled in by the Bank: Limited individual power of attorney	Specimen signatures of proprietors, personally liable partners, management board members, managing directors
1.			
2.			
3.			
4.			
5.			
Names of "Prokuristen" (holders of general commercial power of attorney)	Right of representation vis-à-vis the Bank ¹	Limited individual power of attorney	Specimen signatures of "Prokuristen"
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

Ausfertigung für die Bank

¹Persons who are to have individual right of representation must be marked with an "I"; those who are to have joint right of representation with another person specified below are to be marked with a "J". If someone is only to have right of representation jointly with a particular other person, the number under which that person is listed in this form must be indicated in addition to the letter "J" (e.g. "J" with no. 1). ²See under II.

Names of "Handlungsbevollmächtigte" (holders of limited commercial power of attorney) who have been authorised to enter into obligations on bills of exchange, conclude loan agreements and furnish the declarations required to secure the loan agreements, except where security is provided in the form of charges on property.	Right of representation vis-à-vis the Bank ¹	To be filled in by the Bank ² : Limited individual power of attorney	Specimen signatures of "Handlungsbevollmächtigte"
14.			
15.			
16.			
17.			
18.			
19.			
20.			
21.			
22.			
23.			
24.			
25.			
Names of "Handlungsbevollmächtigte" with no authority to enter into obligations on bills of exchange and conclude loan agreements	Right of representation vis-à-vis the Bank ¹	Limited individual power of attorney	Specimen signatures of "Handlungsbevollmächtigte"
26.			
27.			
28.			
29.			
30.			

¹Persons who are to have **individual right of representation** must be marked with an "I"; those who are to have **joint right of representation** with another person specified below are to be marked with a "J". If someone is **only to have right of representation jointly with a particular other person**, the number under which that person is listed in this form must be indicated in **addition to the letter "J"** (e.g. "J" with no. 1). ²See under II.

Names of "Handlungsbevollmächtigte" with no authority to enter into obligations on bills of exchange and conclude loan agreements	Right of representation vis-à-vis the Bank ¹	To be filled in by the Bank ² : Limited individual power of attorney	Specimen signatures of "Handlungsbevollmächtigte"
31.			
32.			
33.			
34.			
35.			
36.			
37.			
38.			
39.			
40.			
41.			
42.			
43.			
44.			
45.			
46.			
47.			
48.			
49.			
50.			

¹Persons who are to have **individual right of representation** must be marked with an "I"; those who are to have **joint right of representation** with another person specified below are to be marked with a "J". If someone is **only to have right of representation jointly with a particular other person**, the number under which that person is listed in this form must be indicated in addition to the letter "J" (e.g. "J" with no. 1). ²See under II.

II. Limitation of the power of attorney vis-à-vis the Bank in the case of joint right of representation in accordance with the articles³

If, in accordance with the Commercial Register/Register of Cooperative Societies or Register of non-trading Partnerships, **all** legal representatives (personally liable partner of a general partnership, limited partnership or non-trading partnership, management board of a public limited company, managing director of a private limited company, management board of a cooperative) of the account holder have **joint right of representation, individual right of representation** vis-à-vis the Bank granted on this specimen signatures sheet shall be limited to transactions directly relating to the management of the account(s)/safe custody account(s).

These shall include in particular:

- disposing of account balances (e.g. by credit transfer order, cash withdrawal, cheque)
- drawing on loans granted (including instructions to provide a guarantee, utilisation of existing overdraft facilities and presentation of bills of exchange for discounting)
- buying and selling securities and foreign exchange and requesting delivery of these
- accepting and acknowledging periodic balance statements, statements of account, securities, safe custody and income statements plus other statements and advices
- issuing collection orders

For legal reasons, individual right of representation for all business transactions can only be granted vis-à-vis the Bank for partnerships and corporations where the partnership agreement or the articles provide for individual right of representation for legal representatives.

The account holder must notify the Bank immediately and – for proof-related reasons – if possible in writing of the extinction or amendment of any right of representation advised to the Bank. This notification requirement shall also exist where the right of representation has been recorded in a public register (e.g. Commercial Register) and its extinction or amendment is entered in this register.

Place, date, signature(s) ⁴ (Company stamp and signatures of proprietors, owners, personally liable partners, management board members or managing directors)	
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³For the bank: Please note under I. that individual right of representation is limited. ⁴Should some of the bodies of the company have individual right of representation and some joint right of representation, the specimen signatures sheet should be signed by at least one person having individual right of representation.

Bank remarks

Entered in the register at the Amtsgericht (Local Court)	under no.	certified extract from register dated
Joint right of representation in accordance with the articles exists (see II.)		
<input type="checkbox"/> Yes <input type="checkbox"/> no		

Identification of authorised representatives

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁸ <input type="checkbox"/> performed by eligible third parties abroad ⁹ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation <input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁵		
German TIN ⁶		
Nationality ⁷		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital: <input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ¹² No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ¹³	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁸ <input type="checkbox"/> performed by eligible third parties abroad ⁹ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁵		
German TIN ⁶		
Nationality ⁷		
Date of birth		
Place of birth	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹¹ _____
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ¹² No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ¹³	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁸ <input type="checkbox"/> performed by eligible third parties abroad ⁹ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁵		
German TIN ⁶	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹¹ _____
Nationality ⁷		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ¹² No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ¹³	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 4	Type of verification	Also recorded due to type of verification		
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁸ <input type="checkbox"/> performed by eligible third parties abroad ⁹ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____		
Residential address ⁵				
German TIN ⁶				
Nationality ⁷				
Date of birth				
Place of birth	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹¹ _____		
<input type="checkbox"/> purely digital:				
			<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ¹² No.: _____ on _____
			<input type="checkbox"/> Remote identification by eID ¹³	<input type="checkbox"/> Service and card-specific identifiers
			<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____		

Details of person 5	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁸ <input type="checkbox"/> performed by eligible third parties abroad ⁹ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁵		
German TIN ⁶	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹⁰ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹¹ _____
Nationality ⁷		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ¹² No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ¹³	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Place, date, signature(s) of bank employee	
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Clarification of the beneficial owner and their PEP status

For this purpose, the following forms should be used:

Registered sole proprietorships: form 41.520; trading partnerships, non-trading partnerships and corporations: form 41.522.

⁵Residential address in Germany should be indicated first. Where there is no fixed abode with lawful residence in the EU and identity is verified in the course of conclusion of a 'basic account' agreement, the postal address at which the contracting party and the person acting on behalf of the contracting party may be reached should be indicated. ⁶Tax Identification Number (must be indicated as of 1.1.2018 where allocated by the German Federal Central Tax Office (Bundeszentralamt für Steuern [BZSt])). ⁷US nationality must always be indicated (additionally, where necessary) (German FATCA Implementing Regulation = FATCA-USA-Umsetzungsverordnung). ⁸e.g. Postident procedure. ⁹e.g. by German Foreign Chambers of Commerce. ¹⁰The copy of the identity document must cover the part of the document of relevance for identification purposes. ¹¹The video identification process must be stored until five years after termination of the business relationship. ¹²For whether a comparable AML/CFT standard applies in certain third countries, see internal risk analysis. ¹³eID (electronic identification) by German identity card or German electronic residence permit.