

Information required pursuant to the GwG¹ when opening accounts/custody accounts for “legal persons”⁵

The Bank is obligated by law to collect and record in writing the following information. The account/custody account holder is obligated by law to cooperate in this respect and update the information.

Account/custody account holder:

Account/custody account no.:

This form serves to collect and evidence verification² of the information required under the GwG, KWG³ and AO⁴ on the “legal person”⁵ contracting party, the person acting on behalf of the contracting party²⁵, and the beneficial owners or fictitious beneficial owners.

I. Nature and purpose of business relationship

<input type="checkbox"/> Payments (e. g. current account)	<input type="checkbox"/> other:
<input type="checkbox"/> Investment	
<input type="checkbox"/> Lending	

II. Acting persons

I am acting on behalf of the contracting party (legal person):

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
Nationality ⁸	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

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Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
Nationality ⁸	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital: <input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
Nationality ⁸	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Details of person 4	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based:	
Residential address ⁶	<input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Nationality ⁸	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Date of birth		_____ Document no. _____ Issuing authority _____
Place of birth		_____ Issuing authority _____
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____ _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Contracting party is a "legal person"⁵

Details of "legal person" ⁵	Type of verification	Also recorded due to type of verification
a) Name (company/designation)	<input type="checkbox"/> Extract from register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register
	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register
b) Legal form	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register
c) Register number (if any)		<input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
d) Address of registered seat or head office ¹³	<input type="checkbox"/> Inspection of register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection <input type="checkbox"/> Proof of inspection: _____
e) Names of members of representative body or names of legal representatives	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection <input type="checkbox"/> Proof of inspection: _____
f) BIN or tax number ¹⁴		
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵ <input type="checkbox"/> Date and time of inspection <input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Incorporation documents¹⁶	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis _____
	<input type="checkbox"/> Valid documents equivalent to incorporation documents¹⁷ Detailed description of documents _____	<input type="checkbox"/> Copy/scan of documents <input type="checkbox"/> Reference to relevant section of internal risk analysis _____

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Where a member of the representative body or a legal representative (see e) above) is a legal person, the following details of this legal person are required: a) Name (company/designation)	<input type="checkbox"/> Extract from register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register
b) Legal form	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
c) Register number (if any)	<input type="checkbox"/> Inspection of register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
d) Address of registered seat or head office ¹³		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
e) Names of members of representative body or names of legal representatives		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
f) BIN or tax number ¹⁴		<input type="checkbox"/> Date and time of inspection _____
		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Incorporation documents¹⁶	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis
	<input type="checkbox"/> Valid documents equivalent to incorporation documents¹⁷ Detailed description of documents _____	<input type="checkbox"/> Copy/scan of documents <input type="checkbox"/> Reference to relevant section of internal risk analysis

Where a member of the representative body or a legal representative (see e) above) is a legal person, the following details of this legal person are required: a) Name (company/designation)	<input type="checkbox"/> Extract from register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register
b) Legal form	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
c) Register number (if any)	<input type="checkbox"/> Inspection of register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
d) Address of registered seat or head office ¹³		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
e) Names of members of representative body or names of legal representatives		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
f) BIN or tax number ¹⁴		<input type="checkbox"/> Date and time of inspection _____
		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Incorporation documents ¹⁶	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis
	<input type="checkbox"/> Valid documents equivalent to incorporation documents ¹⁷ Detailed description of documents _____	<input type="checkbox"/> Copy/scan of documents <input type="checkbox"/> Reference to relevant section of internal risk analysis

Where a member of the representative body or a legal representative (see e) above) is a legal person, the following details of this legal person are required: a) Name (company/designation)	<input type="checkbox"/> Extract from register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register
b) Legal form	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
c) Register number (if any)	<input type="checkbox"/> Inspection of register	
	<input type="checkbox"/> German Commercial Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
d) Address of registered seat or head office ¹³		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Date and time of inspection
e) Names of members of representative body or names of legal representatives		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Official register or list comparable to German Commercial Register/German Cooperatives Register	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis ¹⁵
f) BIN or tax number ¹⁴		<input type="checkbox"/> Date and time of inspection _____
		<input type="checkbox"/> Proof of inspection: _____
	<input type="checkbox"/> Incorporation documents¹⁶	<input type="checkbox"/> Copy/scan of extract from register <input type="checkbox"/> Detailed description of documents and reference to relevant section of internal risk analysis
	<input type="checkbox"/> Valid documents equivalent to incorporation documents¹⁷ Detailed description of documents _____	<input type="checkbox"/> Copy/scan of documents <input type="checkbox"/> Reference to relevant section of internal risk analysis

III. Beneficial owners and fictitious beneficial owners

- The beneficial owner is the **acting person** (natural person) indicated above.
- The "legal person" is a legal person/company listed on a regulated market pursuant to Section 2 (11) of the German Securities Trading Act (Wertpapierhandelsgesetz [WpHG]) or subject to transparency requirements compliant with EU law for holdings of voting rights or to equivalent international standards¹⁸.
- The "legal person" is a legal person/company **not** listed on a regulated market pursuant to Section 2 (11) of the German Securities Trading Act (Wertpapierhandelsgesetz [WpHG]) and also **not** subject to transparency requirements compliant with EU law for holdings of voting rights or to equivalent international standards¹⁸.
 - Beneficial owners (natural person(s)) of the legal person/company are:
 - due to a shareholding of more than 25%:

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 4	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

due to actual control of more than 25% of voting rights:

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷		
Nationality ⁸		
Date of birth		
Place of birth	<input type="checkbox"/> purely digital: <input type="checkbox"/> Remote identification by QES as per eIDAS Regulation <input type="checkbox"/> Remote identification by eID ²⁴ <input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation <input type="checkbox"/> other: _____	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____ <input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____ <input type="checkbox"/> Service and card-specific identifiers <input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____ <input type="checkbox"/> other: _____

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Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 4	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

due to actual control of the legal person/company equivalent to more than 25% of voting rights¹⁹

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation <input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷		
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital: <input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Ausfertigung für die Bank (Copy for the Bank)

Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 4	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Please note: for whether information on further persons is required, see internal risk analysis.

Beneficial owners (natural person(s)) can either not exist due to the structure of the legal person/company (government-owned entity) or could not be traced. Consequently, the legal representatives, managing shareholders or partners of the legal person/company should be verified as **"fictitious" beneficial owners**²⁰

Details of person 1	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Details of person 2	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
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Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
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	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 3	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
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	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____ _____	<input type="checkbox"/> other: _____ _____

Details of person 4	Type of verification	Also recorded due to type of verification
Surname, first name (also name at birth)	<input type="checkbox"/> document-based: <input type="checkbox"/> by own employees <input type="checkbox"/> performed by eligible third parties in Germany ⁹ <input type="checkbox"/> performed by eligible third parties abroad ¹⁰ <input type="checkbox"/> Remote identification by document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____
Residential address ⁶		
German TIN ⁷	<input type="checkbox"/> via Videoident (video identification) procedure in accordance with BaFin Circular 3/2017	<input type="checkbox"/> Copy/scan of identity document ¹¹ Type of document _____ Document no. _____ Issuing authority _____ Storage of identification process video (video and audio) ¹² _____
Nationality ⁸		
Date of birth		
Place of birth		
	<input type="checkbox"/> purely digital:	
	<input type="checkbox"/> Remote identification by QES as per eIDAS Regulation	<input type="checkbox"/> QES validation data, plus reference credit transfer received from account within EEA or from third country with comparable AML/CFT standard ²³ No.: _____ on _____
	<input type="checkbox"/> Remote identification by eID ²⁴	<input type="checkbox"/> Service and card-specific identifiers
	<input type="checkbox"/> Remote identification by <u>non</u> -document-based means of identification from notified identification scheme under eIDAS Regulation	<input type="checkbox"/> Recording in accordance with notified identification scheme under eIDAS Regulation whose means of identification was used, namely: _____
	<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____

Please note: for whether information on further persons is required, see internal risk analysis.

