

Form for Opening Accounts/Custody Accounts
 (Joint accounts/custody accounts with joint/individual right of disposal – contracting parties are natural persons)

Further information pursuant to the GwG¹, KWG² und AO³

Account/custody account holders

Account/custody account no.

The Bank is obligated by law to collect and record in writing the following information.

Nature and purpose of business relationship	
<input type="checkbox"/> Payments (e.g. current account) <input type="checkbox"/> Investment <input type="checkbox"/> Lending	<input type="checkbox"/> Other:

Clarification of the beneficial owner(s)⁴

- We are acting in our own economic interest and not at the behest of any third party (particularly not as a trustee).
- We are acting at the behest of the following natural person. (If the contracting party is acting at the behest of a company, its name/registered seat should be entered here and attention paid to footnote 4.)

Name (where applicable, name of company)	First name(s)	(if necessary, further identifying features (e.g. address/registered seat))
		PEP status* <input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access

Duty of the account/custody account holder to cooperate under the GwG⁵

The account/custody account holder is obligated to make available the information and documents necessary for determining beneficial owners. Further information under tax law should be recorded on form 41.356 and included in the account-opening file. The account/custody account holder must immediately report to the Bank any changes in the mandatory information provided to it that may arise in the course of the business relationship.

Date, signatures of account/custody account

Other processing information

Form of account/custody account holder identification

Name	A	B	C
Identity document <small>(Type of document, number, issued by, on)</small>	<input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> see copy of identity documents	<input type="checkbox"/> see copy of identity documents
Identification by other means	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic)	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic signature)	<input type="checkbox"/> Identification by eligible third parties (e.g. PostIdent procedure) <input type="checkbox"/> Remote identification pursuant to Section 6 (2) No. 2 GwG (electronic)
	<input type="checkbox"/> As the contraction party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:	<input type="checkbox"/> As the contraction party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:	<input type="checkbox"/> As the contraction party had already been identified on a previous occasion and the information collected at the time was recorded, reidentification could be waived. Account no.:
PEP status*	<input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access	<input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access	<input type="checkbox"/> has been determined <input type="checkbox"/> will be determined before initial account access

<input type="checkbox"/> Newly opened <input type="checkbox"/> Alteration Additional processing information	Withdrawal notice for savings deposits: Place, date, signature of bank employee
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Space for remarks where the information collected is updated
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Ausfertigung für die Bank (Copy for the Bank)

¹Geldwäschegesetz (GwG) = German Anti-Money Laundering Act ²Kreditwesengesetz (KWG) = German Banking Act ³German Fiscal Code (Abgabenordnung). ⁴The beneficial owner(s) is (are) always the natural person(s) at whose behest the account/custody account is ultimately opened. If such beneficial ownership status arises indirectly – via a company, for example – the information should be recorded on one of the forms provided for this purpose, i.e. Form 41.521 (corporations/companies), Form 41.522 (partnerships), Form 41.523 (foundations or comparable legal entities), or separately. ⁵Sections 4 (6) and 6 (2) No. 1 GwG. *PEP = Politically Exposed Person; clarification of PEP status is necessary for each natural person – for both the contracting party and the beneficial owner.

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Date, signatures of account/custody account

Kopie für den Kunden (Copy for account holder)

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